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# Suppressing of Co-Extracted Electrons in a Negative Ion Source – Numerical Simulations

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### ABSTRACT

Electron co-extraction and suppression by a transverse magnetic fields is studied within a two dimensional particle-in-cell numerical model of surface ionisation ion source with beveled extraction opening. A novel approach of data presentation is proposed, based on the fact that dependences of co-extracted current on the filter strength could be approximated by four parameters only, describing e.g. initial electron current value and cut-off *B* value. In the paper the influence of extraction system geometry is considered – it is shown that the cut-off *B* value increases with the size of the opening in the extraction electrode, while the inclination of the extraction opening walls does not play any significant role. It is demostrated that the most of electron is eliminated by hiting the extraction electrode walls, however up to 30% of electrons were lost by encountering the extraction channel walls due to the modification of their trajectories by the filter field. The influence of the magnetic filter field placement is also investigated – the center of the filter field has to be no further than 2 mm form the extraction channel orifice in order to achieve minimal values of the cut-off field (~20 mT). The possibly low extraction voltages are preferable, as the ammount of co-extracted electrons grows rapidly with  $V_{ext}$  resulting in e.g. threefold increase of cut-off parameter value when extraction voltage is changed from 1 kV up to 10 kV. Within the considered model the filter field does not have any significant influence on extracted H<sup>-</sup> current.

Keywords: Particle-in-cell simulations, negative ion sources, ion beam extraction.

## INTRODUCTION

Negative ion sources of various kinds are used in numerous fields of research, including mass spectroscopy and nuclear and particle physics (tandem accelerators, cyclotrons). However, the most prominent example of their usefulness are neutral beam injections systems of nuclear fusion devices [1, 2], where large volume high power negative ion sources are employed to produce high power neutral particle beams being insensitive to magnetic field and allow heating Tokamak plasma without inducing different kinds of instabilities. NBI systems of the ITER reactor are designed to provide 33 MW of power using 1 MeV neutral deuterium/hydrogen beams [3, 4]. In order to achieve such performance, large area  $(0.9 \times 1.9 \text{ m})$  low pressure (~0.3 Pa, in order to minimize stripping losses of negative ions) RF-inductively coupled ion sources providing current densities of ~30 mA/cm<sup>2</sup> are required [5–8]. In such sources negative ion sources are produced mostly in surface conversion process [9] on caesium covered first grid (plasma grid) of the extraction system. The surface ionisation mechanism after a proper conditioning of the ion source is known to prevail over the volume production [9, 10].

Extraction of negative ion beams, especially those of large intensity, implies a burning issue of co-extracted electrons [3, 11, 12]. Massive electron co-extraction of electrons results in a very large space-charge that could hinder negative ion extraction and also spoils the acceleration system efficiency [2]. This problem is usually solved by removing electrons due to application of special magnetic filters in the region of ion source opening and the extraction system. Another counter measure is applying a positive bias to the plasma grid in order to make it electron-attracting and suppress the unwanted electron current [13].

Numerical modeling of ionization and ion beam formation and extraction for decades supports design of ion sources and helps understanding of processes occurring within them. Over last years a plethora of numerical models of negative ion beam production were presented in literature [14–22].

In the paper a 2D numerical model of surface H- production and beam formation, initially presented in [23,24] and then upgraded to take account ionization on beveled extraction channel surfaces [25] and to calculate ion beam emittance [26], is used to study the influence of transverse magnetic field filter having gaussian profile on electron co-extraction. Using lower dimension model is commonly accepted technique [27, 28] that allows reduce computational effort and, if performed carefully, still allows obtaining meaningful and valuable results. Despite lowered dimensionality, the applied model seems much more reliable than that presented in [29,30] as this time the cell sizes are much shorter than plasma Dirac length. One should have in mind that the presented code could be run on a single CPU workstation and the typical computation time is of order of one day, while a typical 3D code requires hundreds of CPUs of a supercomputer or a Linux class cluster. The model describes creation of negative ions not only at caesiated (i.e. with lowered work function [31]) surface plasma grid facing the ion source chamber but also at the chamfered (inclined) surfaces of the extraction channel. Besides the brief description of the numerical models some results of the influence of the magnetic filter in different configuration of the extraction system is presented. Based on the fact that the dependence of the extracted electron current on the magnetic filter strength look similar independently on which aspect of the extraction system is studied, a novel approach enabling optimisation of the system is proposed. As each of  $I_{electr}(B)$  curves could be approximated by 4 parameters (two electron current values and two magnetic field cut-off values) it is justified to follow the evolution of these parameters with e.g. extraction voltage or electrode opening size,

which makes the data presentation and discussion more effective. The papers presents results of electron co-extraction and suppression which may be affected by factors like the geometry of the extraction channel (its size and inclination of the walls), the diameter of the opening in the extraction electrode as well as the position of the magnetic filter. The role played by the extraction voltage is also under investigation.

### SIMULATION MODEL

The model used for simulations is based on the PIC (Particle-In-Cell) method [32]. Within that approach each computational particle represents a large number of real particles moving the same way what enables large reduction of the numerical effort. Some limitations should be however kept in mind: (1) each mesh cell should contain sufficient number of computational particles (30–50 is a rule-of-a-thumb). (2) the cell size should be smaller than the plasma Debye length, (3) the simulation timestep  $\Delta t$  should be chosen so that the Courant–Friedrichs–Lewy criterion [33] is fulfilled:

$$v\Delta t / \Delta x < 1 \tag{1}$$

where: v – maximal particle velocity in the plasma. The timestep should be also smaller than the inverse of the plasma frequency. In the paper a 2D model of a small ionization chamber of length L = 4.5 mm and width 5 mm.

The sketch of the simulated system is shown in Figure 1. A flat extraction electrode on the negative extraction potential  $V_{ext}$  is placed at the distance d from the extraction hole. The radius of the opening in the extraction electrode is marked as  $r_{a}$ . As in previous papers [23,24], both negative ions and electrons are extracted from the chamber through a conical channel. Its geometry is described by the channel length h and its inner and outer radii  $r_i$  and  $r_o$  respectively. The area of simulation is covered by a rectangular 160×100 grid with cell sizes  $\Delta x = \Delta y = 0.05$  mm. The ionization chamber is initially filled with 107 macroparticles representing either electrons or H<sup>+</sup> ions (both species are represented by equal numbers of computational particles). The first step of the PIC procedure is the determination of charge

density distribution by assigning computational particle charges to grid points. Having this done, the electrostatic potential distribution could be found by solving the Poisson equation:

$$\Delta V(x,y) = -\frac{\rho(x,y)}{\varepsilon_o} \tag{2}$$

where: with additional boundary conditions being the result of the presence of electrodes. This is achieved using successive over-relaxation method (SOR) as described in the case of 2D [23,24] and 3D [29, 30] Particle in-Cell codes. Once the potential and charge distributions are derived, the electric field in the grid points is calculated by numerical integration.

As it was already mentioned a transverse (in the z direction) magnetic filter field was placed in the extraction region in order to get rid of electrons from the extracted beam. The applied filter profile was gaussian:

$$B(x) = B_o \exp\left[\frac{(x - x_o)^2}{2w^2}\right]$$
(3)

where:  $x_0 = 3$  mm,

w = 2 mm, unless otherwise stated. The strength of the magnetic filter changed up to 40 mT. Such a profile is shown in the upper part of the Figure 1.

As the magnetic field affects trajectories of charged particles according to Lorentz equations

$$m\vec{r}(t) = q\left(\vec{E}(\vec{r},t) + \vec{r} \times \vec{B}(\vec{r})\right) \tag{4}$$

where: q and m – particle charge and mass, the velocity Verlet particle pusher algorithm was modified as in [34] (see equations 4 and 5 therein). An additional care has to be taken in order to make sure that the simulation timestep is smaller than the inverse of the electron Larmor frequency:

$$\Omega = \frac{eB}{m_e} \tag{5}$$

where: e and  $m_e$  – the electron charge and mass, respectively. As the new particle positions and velocities are found, it is possible to determine the new charge density distribution and the whole simulation loop is repeated as long as necessary.

Negative hydrogen ions start to be injected into the chamber as soon as a quasi-stationary state is achieved with balanced plasma (which takes usually  $2 \times 10^4 - 3 \times 10^4$  timesteps ). The injection rate is kept constant  $(N_{H_{-}} \text{ per } \Delta t)$ , this rate is related to neutral-negative ion conversion that takes place at caesium-covered inner surfaces of plasma grids in negative ion sources. H<sup>-</sup> ions are ejected not only from inner surface of the plasma grid of the chamber (as in [27, 28]) but also from the inner (chamfered) walls of the extraction channel, as in the previous paper [23]. Initial velocities of negative H ions correspond to kT = 0.25 eV and they undergo numerous elastic collisions with other charged particles, which is simulated using Monte Carlo BCA (binary collision approximation) [23,35]. The extracted electron and ion current are registered at the planes marked by dashed lines in Figure 1:  $s_1$  (outer rim of the extraction channel x = 5.5 mm) and  $s_{2}$  (entrance of the opening in the extraction electrode, x = 7.5 mm). The numerical program is able to register e.g. evolution of potential values in selected points of the chamber, total numbers number of particles inside the chamber and also charge density as well as electrostatic potential distributions snapshots.

## SIMULATION RESULTS

A the first stage the numerical simulations for  $B_{a}$  changing up to 40 mT were performed in order to check the influence of the magnetic filter field on the extraction of negative particles (mainly electrons) for different geometries of the extraction channel. This geometry is described by  $r_0 = 0.7$  mm while  $r_i$  changes from 0.8 mm up to 2 mm. As the length of the extraction channel is kept constant (equal to 1 mm) the inclination of extraction opening walls changes in a broad range. Calculations were performed for  $V_{ext} = 1$  kV, d = 2 mm and 160000 time steps (with  $\Delta t = 0.2 \times 10^{-11}$  s). The radius of the opening on the flat extraction electrode was  $r_e = 1.2$  mm. Charge density of plasma was set to 1016 m-3 and its electron temperature to kT = 1 eV. The negative ion injection rate was  $N_{H-} = 300$ .

As one can see in Figure 2. the magnetic field does not influence the extracted negative



Fig. 1. Schematic view of the simulated system. An example of the magnetic filter field is shown in the upper part of the picture

ion current. This differs from results obtained using both 2D [10] and 3D [15, 18, 29]. That difference is probably due to the fact that most of the negative ions are produced very near to the extraction channel (especially in the case of large  $r_i$  values) and they are immediately caught by the



Fig. 2. Dependences of the extracted H- current (IH-) on simulation time t ( a simulation timestep  $\Delta t$  is the unit of time). Results obtained for the two shapes of the extraction channel. Calculations done with and without the magnetic filter

accelerating field, while in the case of above mentioned codes one deals with the flux of negative ions produced in the volume process. Moreover, the model does not takes losses due to electron stripping in ion-electron collisions in the extraction region. As it was previously shown, the extracted H<sup>-</sup> current strongly depends on the extraction channel wall inclination [24]. This is also true in the considered case – the H<sup>-</sup> current is almost by 4 times larger when the  $r_o = 2$  mm, compared to the nearly cylindrical extraction channel.

On the other hand the extracted electron current changes dramatically with the strength of the magnetic filter. As one can see in Figure 3 the current measured at the extraction electrode entrance (plane s<sub>2</sub>), which is initially by an order of magnitude larger than  $I_{H_{-}}$  decreases with  $B_o$  and almost vanishes for  $B_o = 30$  mT. This is in a good qualitative agreement with results presented e.g. in [36], one should have in mind that a different geometry of extraction system is considered therein. Moreover one can see current oscillations with the period of ~50000  $\Delta t$ , their amplitude decreases with  $B_o$ . The filtering mechanism is quite easy to understand when one looks at Figure 4. (right part).



Fig. 3. Evolution of the co-extracted electron current Ie for different values of B<sub>o</sub>. Results for Uext = 1 kV,  $r_o = 0.7$  mm,  $r_i = 1.5$  mm and  $r_e = 1.2$  mm. As in Fig. 2 the timestep  $\Delta t$  is the unit of simulation time t

The electron beam enters completely the opening of the extraction electrode (having radius  $r_{a} = 1.2$  mm), despite the fact that the beam is divergent. As the strength of the magnetic filter increases the electron trajectories are more and more deflected. Similar electron trajectory bending was observed e.g. in the case of ONIX simulations [15]. As one can see in the case of  $B_{\rm e}=10$  mT, part of the beam passes the entrance while the other part of the beam is stopped at the electrode. For even stronger filter fields (as in the presented case  $B_0 = 35$  mT) the electron beam is bent and most of particles hits the electrode - the current measured at s, nearly vanishes. It should be also noticed that the filter field changes the electron distribution inside the chamber. Looking at the H<sup>+</sup> distributions, which have to adjust to



Fig. 4. Examples of the H<sup>+</sup> and e- charge density distributions in x and y coordinates (see Fig. 1 for explanation) at the final stage of simulations for different values of the filter field. Simulations done for  $U_{ext} = 1 \text{ kV}$ 

electron and H<sup>-</sup> distribution in order to maintain plasma neutrality, one can see that the shape of the plasma meniscus near the extraction opening also becomes strongly asymmetric. Such formation of asymmetric meniscus was shown in [18, 36]

As in the following parts of the paper the influence of other parameters of the extraction system on electron filtering will be presented, some much more synthetic way of data presentation is proposed. Instead of showing a family of current(time) curves obtained for different values of the filtering field  $B_o$  the average values of electron current for each curve as the function of  $B_o$  could be presented, as shown in Figure 5.

It should be noted that current(filter field) characteristics both for  $s_1$  (extraction opening) and  $s_2$ (extraction electrode) could be easily shown and compared. Both  $I_1(B_a)$  and  $I_2(B_a)$  curves could be approximated with three straight line segments: (1) for relatively small  $B_{a}$  the electron current is almost constant as the electrons pass the extraction opening undisturbed, at level  $I_4$  then (2) for intermediate  $B_{a}$  values almost linear decrease of the current is observed. This is due to the fact that increasing part of deflected electrons hits either the extraction channel walls in the plasma (first) electrode, either the front of the extraction (the second one) electrode. The last (3) segment of  $I_{i}(B_{o})$  correspond to the situation when the further increase of filter field (above  $\sim B_B$ ) does not changes the number of electrons passing the extraction opening - electrons coming from the upper part of the chamber (y>0) are still able to pass through the opening – an this current  $(I_R)$  is limited



**Fig. 5**. Dependences of extracted electron currents (measured at the extraction opening  $I_1$  and at the extraction electrode opening  $I_2$ ) on the magnetic filter field strength Bo. The four parameters ( $I_A$ ,  $I_B$ ,  $B_B$  and  $B_C$ ) that approximate  $I_1(B_0)$  and  $I_2(B_0)$  shape are introduced

by charge density and extraction voltage. The interpretation of the last segment of  $I_2(B_o)$  curve is rather trivial – all electrons are lost at the extraction electrode. The  $B_c$  could be considered as the cut-off value of the filter field – high enough to get rid of virtually all electrons. The characteristics presented in [36] correspond rather to  $I_2(B_o)$ curves, but are presented as semi-log figure.

The shape of  $I(B_o)$  curves is quite universal, however, changing the parameters of the extraction system (like the geometry of electrodes, extraction voltage, position of the filter field etc.) leads to the change of  $I(B_o)$  curve parameters like  $I_A, I_B, B_B$  and  $B_C$ . One may think of taking one step further and presenting this set of parameters as a function of the chosen extraction system magnitude instead of a family (or rather two families) of  $I(B_o)$  characteristics.

The first factor which influence is analyzed using the proposed approach is the entrance radius of the extraction channel  $r_i$ . The value of that radius changes from 0.8 mm (almost cylindrical extraction channel) up to 2 mm (large inclination of the extraction channel walls which makes the surface production very intense near the extraction opening). The calculations were made for  $x_o = 3$  mm and w = 2 mm for the same value of extraction voltage  $V_{ext} = 1$  kV. Consequently, one



Fig. 6. Evolution of I<sub>A</sub>, I<sub>B</sub> (a) and B<sub>B</sub>, B<sub>C</sub>
(b) parameters with the entrance radius r<sub>i</sub> of the extraction channel

can see in Figure 6a that the initial  $I_a$  current increases nearly linearly with the radius  $r_i$  due to the more effective electron pulling through more and more wide extraction opening. However, the behavior of the saturated current passing the exit of the extraction channel  $(I_B)$  is more complex: one can see the wide maximum near  $r_i = 1.5$  mm. The value of the cut-off filter field decreases with the inclination of the extraction channel walls but this effect is rather small (of order 1 of mT in the considered case). The trend of  $B_B(r_i)$  is almost the same, as could seen in Figure 6b.

The influence of the size of the opening in the extraction electrode on filtering of co-extracted electrons is presented in Figure 7. The filter field is the same as in the previous case ( $x_0 = 3 \text{ mm}$  and w = 2 mm), while changes in the range from  $r_e =$ 0.8 mm up to  $r_{e} = 1.4$  mm. As one may expect, the size of the opening in the second electrode has little to do with co-extracted currents measured at the first electrode – the values of  $I_A$  and  $I_B$  are nearly constant (Fig 7a). On the other hand, the changes of filter field intensity parameters are large (the required cut-off value,  $B_c$  increases almost twice with  $r_{a}$  rising in the considered range) and rather easy to understand. The larger is the size of the opening in the extraction electrode, the stronger magnetic filter is needed to drive the co-extracted electron beam off and make them hit the electrode surface. Hence, one may say that the systems with tighter opening in the extraction electrodes are preferred as far as avoiding negative ion beam contamination by electrons is considered.

As one may expect, not only the geometry of the extraction systems determines the intensity of extracted electrons. The placement of the filter field seems to be one of the most important parameters. Results of simulations for the different values of the filter field centroid  $x_{a}$  are gathered together in Figure 8. As in the previous case, the initial  $(B_0 = 0)$  electron current does not change with  $x_{a}$ . However, the saturation current  $I_{B}$  decreases fourfold as the filter moves from  $x_o = 1$  mm to  $x_o = 5 \text{ mm}$  (at the  $s_1$  plane). The strong magnetic field in the extraction channel is able to deflect electrons trying to pass it and make them hit their inner walls. Putting the filter field deep into the plasma region results in extremely high cut-off values (~150 mT for  $x_o = 1$ ). The values of  $B_c$  decrease very fast to approximately 25 mT as  $x_0$  is 4 mm (filter in the extraction channel region). The  $B_{R}$  values evolve in a similar way, but the saturation of the extracted electron current takes place for much smaller  $B_{a}$  values than the cut-off value (in the range from 150 mT up to 60 mT).



Fig. 7. Evolution of  $I_A$ ,  $I_B$  (a) and  $B_B$ ,  $B_C$  (b) values with the radius re in the extraction electrode



Fig. 8. Dependence of  $I_A$ ,  $I_B$  (a) and  $B_B$ ,  $B_C$  (b) values on the center position xo of the magnetic filter field

The value of the extraction voltage is the next factor influencing the co-extraction and filtering of electron from the ionization chamber. The simulations were performed for  $r_i = 1.5$  mm,  $r_o$ = 0.7 mm and  $r_e = 1.2$  mm, the plasma parameters were kept as in the previously considered cases. The number of electrons being pulled out by a positive biasing of the extraction electrode strongly depends on the bias. The  $I_4(V_{ext})$  dependency (current-voltage curve) is almost perfectly straight line in the considered voltage range (up to 10 kV). This could be understood in the light of high electron mobility and concentration in the extraction region - in matter of fact current-voltage curves for H<sup>-</sup> ions (much slower than electrons) in that voltage range are also far from the saturation region. The current voltage curve measured at the extraction electrode  $I_B(V_{ext})$  has slightly different shape, as the rising extraction voltage influences strongly on the shape of the electron beam, making it harder to deflect. This effect is especially well visible also for  $B_B(V_{ext})$  and  $B_C(V_{ext})$ . The filter field cut-off value increases almost 3 times as the extraction voltage grows from 1 kV up to 10 kV. Figure 9 shows influence of the extraction voltage  $U_{ext}$  on  $I_A$ ,  $I_B$  (a) and  $B_B$ ,  $B_C$  (b) parameters describing the electron extraction.



**Fig. 9.** Influence of the extraction voltage  $U_{ext}$  on  $I_A$ ,  $I_B$  (a) and  $B_B$ ,  $B_C$  (b) parameters describing the electron extraction

### CONCLUSIONS

The influence of magnetic filter field placed in the extraction region on the electron extraction is studied using a 2D particle-in-cell model. An extensive study of electron current dependences on filter field  $I(B_{a})$  for different parameters characterizing the extraction system was demonstrated. An universal pattern of  $I(B_{a})$  curves is found. The above-mentioned universality enabled reduction of the presented data to four parameters  $(I_{4},$  $I_{R}$ ,  $B_{R}$  and  $B_{C}$ ), carrying most of the information on  $I(B_{a})$  behavior. Within the considered model the magnetic filter does not influence extracted H<sup>-</sup> current, while the co-extracted electron current is suppressed due to trajectory deflection. For most of the considered configurations even very strong filter fields (several tens of mT) result in  $I_{R}$  values being ~30 % of  $I_{A}$ . It was shown that cut-off values of the magnetic filter do not depend much on the inclination of the extraction channel walls. On the other hand, it was demonstrated that cut-off values increase strongly with the size of the opening in the extraction electrode - systems with small extraction openings enable easier electron filtering. The B field cut-off values reach minimal values ( $\sim 20$  mT) when the filter is placed very near to the extraction region. The  $I_{A}(U_{ext})$ and  $I_{B}(U_{ext})$  i.e current-voltage curves are almost straight lines in the considered voltage region (up to 10 kV). The required filter field parameters increases nearly three times when  $U_{ext}$  is changed from 1 kV up to 10 kV.

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